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24978 7590 09/25/2006

GREER, BURNS & CRAIN
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Steven P. Fallon	(Depositor's name)
	(Signature)
December 12, 2006	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/519,204	01/27/2005	William S.C. Chang	0321.67638	6603

TITLE OF INVENTION: PERIPHERAL COUPLED TRAVELING WAVE ELECTRO-ABSORPTION MODULATOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	12/26/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
RAHLL, JERRY T	2874	385-002000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

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- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Greer, Burns & Crain, Ltd.

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Regents of the University of California Oakland, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Date December 12, 2006

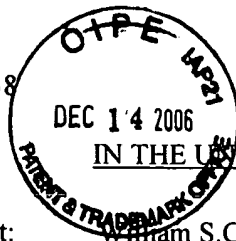
Typed or printed name Steven P. Fallon

Registration No. 35,132

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0321.67638



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William S.C. Chang
Serial No.: 10/519,204
Conf. No.: 6603
Filed: 1/27/2005
For: PERIPHERAL COUPLED
TRAVELING WAVE ELECTRO-
ABSORPTION MODULATOR
Art Unit: 2874
Examiner: Rahll, Jerry T.

I hereby certify that this paper is being deposited with the United States Postal Service as FIRST-CLASS mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

12/12/06

Date

Registration No. 35132

F-CLASS.WCM

Appr. February 20, 1998 Attorney for Applicant(s)

ISSUE FEE AND PUBLICATION FEE TRANSMITTAL

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed herewith please find:

1. Form PTOL-85B (in duplicate) with check for \$1000.00 for the issue fee and publication fee.
2. If a publication fee is due and is not enclosed, or is enclosed in an improper amount, the Commissioner is authorized to charge the publication fee (or any deficiency in such fee) to Deposit Account No. 07-2069.
3. The Commissioner is authorized by the undersigned to charge any additional fees which may be required to this application under 37 C.F.R. §§ 1.16-1,17, or credit any overpayment, to Deposit Account No. 07-2069. Should no proper amount be enclosed, herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 07-2069. A duplicate of this page is enclosed herewith.

Respectfully submitted,

GREER, BURNS & CRAIN, LTD.

By

Steven P. Fallon

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December 12, 2006

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